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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **FUJIWARA, Hideaki**

Group Art Unit: **2822**

Serial No.: **09/899,267**

Examiner: **Keisha L. Rose**

Filed: **July 6, 2001**

Confirmation No.: **3927**

For: **SEMICONDUCTOR MEMORY AND SEMICONDUCTOR DEVICE**

Attorney Docket No.: 010835

Customer Number: 38834

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

June 1, 2004

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. A copy of each of these documents is enclosed.

This Information Disclosure Statement is being submitted after the issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to the issuance of either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any

individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

An English language translation of the Office Action from the Japanese Patent Office and an English translation of the Abstract of the references filed herein are enclosed to provide the requisite statement of relevance for the attached foreign references.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO/SB/08 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully Submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

A handwritten signature in black ink, appearing to read "John P. Kong", with a large, stylized loop at the end.

John P. Kong
Attorney for Applicant
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Substitute for form 1449 PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/899,267
Filing Date	July 6, 2001
First Named Inventor	Hideaki FUJIWARA
Art Unit	2822
Examiner Name	Keisha L. Rose
Attorney Docket Number	010835

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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	1	JP 09-097884	04-08-1997	Toshiba Corp.		
	2	JP 11-054639	02-26-1999	NEC Corp.		
	3	JP 02-027773	01-30-1990	NEC Corp.		
	4	JP 11-087659	03-30-1999	Mitsubishi Elec		
	5	JP 59-074666	04-27-1984	Ricoh Co. Ltd.		
	6	JP 04-057369	02-25-1992	Toshiba Corp.		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)			
	7	JP 11-134886	05-21-1999	Sharp Corp.	
	8	JP 06-028878	02-04-1994	Toshiba Corp.	
	9	JP 10-163435	06-19-1998	Mitsubishi Elec	
	10	JP 53-060582	05-31-1978	Hitachi, Ltd.	
	11	JP 05-347419	12-27-1993	Hitachi, Ltd.	
	12	JP 05-234381	09-10-1993	Sony Corp.	

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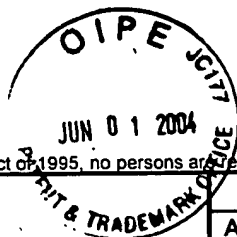
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		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				
	13	JP 08-036889	02-06-1996	Matsushita Elec		

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STATEMENT BY APPLICANT**

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of

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	14	Communication from the Japanese Patent Office in application no. 2000- 209196 dated May 19, 2004 and English language translation	

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